



**NASA OFFICE OF INSPECTOR GENERAL**



# OFFICE OF INVESTIGATIONS

**EXPORT CONTROL VIOLATIONS**

A close-up photograph of a man in a dark suit and a patterned tie, holding a silver tablet. The text 'PROTECT, PROMOTE, COMPLY.' is overlaid on the image. 'PROTECT, PROMOTE,' is in white, and 'COMPLY.' is in a large, bold yellow font.

**PROTECT, PROMOTE,  
COMPLY.**

## ABOUT THE OFFICE OF INVESTIGATIONS

# WHO ARE WE?

Each Federal agency has an independent Office of Inspector General (OIG) charged with conducting objective audits and investigations, as well as preventing and detecting fraud, waste, and abuse. Within the NASA OIG, the Office of Investigations handles allegations of wrongdoing involving organizations or individuals that receive awards from, conduct business with, or work with NASA.

# WHAT DO WE DO?

We investigate allegations in which NASA is the potential victim of fraud, waste, or abuse by employees, grantees, contractors, or others. We receive allegations from many sources, including proposal reviewers, Agency employees, the OIG hotline, and the public. We also handle all allegations of research misconduct involving Small Business Innovation Research and Small Business Technology Transfer (SBIR/STTR) proposals and awards.





## AVOID THE RISKS

### **What Are Export Controls?**

Export controls are regulations and laws that restrict the export of equipment, software, or technology.

### **Why do we have export controls?**

- To protect national security
- To protect economic interests
- To promote regional stability and human rights
- To prevent proliferation
- To comply with international agreements

### **What should I do if I am unsure if my company's equipment, software, or technology are export-controlled?**

Ask! NASA OIG Special Agents can help you get a determination of export license requirements from the appropriate authorities. NASA OIG does not make export control determinations, but can help put your company in touch with the right subject matter experts who can. Asking can help you avoid trouble further down the road.

## EXPORT FRAUD INDICATORS

- Multiple requests for identical product or multiple buyers
- Unusual payment terms (cash, suspicious wire transfers)
- Refusal to provide end-use application information
- Late change in terms and/or source of payment
- Drop shipment to holding company or third country freight forwarder
- Merchandise returns or repair requests from wrong customer location

## PENALTIES

NASA OIG investigates allegations of export law violations pertaining to NASA programs. NASA OIG refers substantiated violations to the U.S. Department of Justice for criminal or civil prosecution, or to the U.S. Department of State or U.S. Department of Commerce for administrative remedies. Contractors and individuals prosecuted by DOJ risk going to prison, paying fines, and facing other penalties. Additionally, the Department of State or Department of Commerce may levy fines and/or revoke export privileges, and NASA may terminate outstanding awards and debar or suspend companies or individuals from receiving grants or contracts from any Federal agency.

## **SUMMARY OF EXPORT CONTROL LAWS**

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- Arms Export Control Act (AECA)
- Export Administration Regulations (EAR)
- International Emergency Economic Powers Act (IEEPA)
- Outbound Smuggling
- Trading with the Enemy Act (TWEA)

## **PRIMARY LICENSING AGENCIES**

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- Single Use Defense Articles: U.S. Department of State
- Dual Use Commodities: U.S. Department of Commerce
- Transactions Involving Sanctions and Embargoes: U.S. Department of Treasury

## **DEALING WITH SUSPICIOUS CONTACTS**

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- Save all correspondence and make note of any phone calls.
- Obtain contact numbers and e-mail addresses with full headers.
- Keep the communications neutral.
- Quickly contact NASA OIG Special Agents.

NASA OFFICE OF INSPECTOR GENERAL  
**OFFICE OF INVESTIGATIONS**  
300 E Street SW, Washington, DC 20546-0001  
202-358-1500



**OFFICE LOCATIONS AND PHONE NUMBERS**

**NASA OIG HEADQUARTERS**

Washington, DC  
202-358-1500

**AMES RESEARCH CENTER**

Moffett Field, CA  
650-604-2678 (Investigations)

**ARMSTRONG FLIGHT RESEARCH CENTER**

Edwards Air Force Base, CA  
202-358-1001 (Investigations)

**GLENN RESEARCH CENTER**

Cleveland, OH  
216-433-6121 (Investigations)  
216-433-9714 (Audits)

**GODDARD SPACE FLIGHT CENTER**

Greenbelt, MD  
301-286-9316 (Investigations)  
301-286-0497 (Audits)  
  
Trenton, NJ Place of Duty  
609-656-2543 or 609-656-2545

**JET PROPULSION LABORATORY**

Pasadena, CA  
202-358-1001 (Investigations)  
818-354-9743 (Audits)

**JOHNSON SPACE CENTER**

Houston, TX  
281-483-8427 (Investigations)  
281-483-9572 (Audits)

**KENNEDY SPACE CENTER**

Kennedy Space Center, FL  
321-867-4714 (Investigations)  
321-867-4719 (Audits)

**LANGLEY RESEARCH CENTER**

Hampton, VA  
757-864-3263 (Investigations)  
757-864-8500 (Audits)

**MARSHALL SPACE FLIGHT CENTER**

Marshall Space Flight Center, AL  
256-544-9188 (Investigations)  
256-791-2002 (Audits)

**ROCKY MOUNTAIN RESIDENT AGENCY**

Greenwood Village, CO  
202-358-4900 (Investigations)

**STENNIS SPACE CENTER**

Stennis Space Center, MS  
228-688-1493 (Investigations)

NASA Policy Directive 9800.1B requires NASA employees who observe crime, fraud, waste, abuse, or mismanagement to report the observation or suspicion to the OIG. Likewise, NASA employees, contractors, grantees, programs, partners, and those receiving NASA funds are expected to cooperate fully with the OIG and its designees. To report suspected violations, contact us at one of our office locations or through our Hotline.

## OIG HOTLINE

1-800-424-9183 / TDD: 1-800-535-8134

[\*https://oig.nasa.gov/hotline.html\*](https://oig.nasa.gov/hotline.html)

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